

CERTIFICATE OF EXPRESS MAIL (37 CFR 1.10)

Label No. EE068531656US

I hereby certify that the attached papers and/or fee are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date shown below in an envelope addressed to Box PCT, Assistant Commissioner for Patents, Washington D.C. 20231.

June 8, 2000

Date

Mary A. Rutkowski

Printed Name

Mary Rutkowski

Signature

FORM PTO-1399 (REV 12/28/99)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

PPD 50288/UST

U. S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.:

PCT/GB98/03687

INTERNATIONAL FILING DATE:

10 December 1998

PRIORITY DATE CLAIMED:

11 December 1997

TITLE OF INVENTION:

GENETIC METHOD

EXPRESS MAIL NO.:

EE068531656US

APPLICANT(S) FOR DO/EO/US:

Ian JEPSON, Chengcai CHU, Nan QU and Uwe SONNEWALD

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND or SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371 (c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.

☐ A **SECOND or SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
09/581036		PCT/GB98/03687		PPD 50288/UST	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$ 970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 840.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. . . \$ 690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 670.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 96.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 840.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	16 - 20 =	0	x \$18.00	\$ 0.00	
Independent claims	3 - 3 =	0	x \$78.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 840.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement				\$	
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).					
SUBTOTAL =				\$ 840.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				+	
TOTAL NATIONAL FEE =				\$ 840.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	
TOTAL FEES ENCLOSED =				\$ 840.00	
				Amount to be	
				refunded:	
				Charged	
				\$	
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>09-0013</u> in the amount of \$ 840.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>09-0013</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
ZENECA Ag Products Inc. Intellectual Property Section 1800 Concord Pike P.O. Box 15458 Wilmington, DE 19850-5458					
Liza D. Hohenschutz June 8, 2000 SIGNATURE DATE					
Liza D. Hohenschutz					
NAME					
33,712					
REGISTRATION NUMBER					
(302) 886-1699					
TELEPHONE NUMBER					

CERTIFICATE OF EXPRESS MAIL (37 CFR 1.10) Label No. EE068531656US

I hereby certify that the attached papers and/or fee are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date shown below in an envelope addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington DC 20231.

June 8, 2000
(Date)

Mary Rutkowski
(Printed Name)

Mary Rutkowski
(Signature)

PATENT APPLICATION
PPD 50288/UST

IN THE UNITED STATES ELECTED OFFICE

INTERNATIONAL APPLICATION NO.: PCT/GB98/03687

INTERNATIONAL FILING DATE: 10 December 1998

PRIORITY DATE CLAIMED: 11 December 1997

APPLICANTS: Ian JEPSON *et al.*

TITLE: GENETIC METHOD

PRELIMINARY AMENDMENT

Box PCT
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Prior to assigning a serial number and calculating the filing fee, please amend the above-identified application as follows:

IN THE CLAIMS

Claim 4, line 1, delete "any one of the preceding claims" and substitute therefor
--claim 1--.

Claim 7, line 1, delete "any one of the preceding claims" and substitute therefor
--claim 1--.

Claim 9, line 1, delete "or claim 8".

Claim 15, line 1, delete "any one of claims 11 to 14" and substitute therefor
--claim 11--.

Claim 16, lines 1 and 2, delete "any one of claims 11 to 15" and substitute therefor
--claim 11--.

Cancel claim 17 without prejudice.

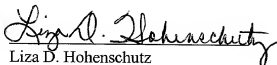
09/581036

REMARKS

The claims have been amended to remove improper multiple dependencies and place them in a better form for examination.

An early and favorable Office Action is requested.

Respectfully submitted,
ZENECA Ag Products



Liza D. Hohenschutz
Reg. No. 33,712
Attorney for Applicants

Dated: June 8, 2000
1800 Concord Pike
P.O. Box 15458
Wilmington, DE 19850-5458
Telephone: (302) 886-1699

g:\intelprop\ppd files\ppd50288\preliminary amendment.doc

GENETIC METHOD

The present invention relates to a method of increasing plant yield, to DNA constructs comprising DNA sequences coding for proteins involved in sucrose transport, metabolism and uptake operably linked to controllable promoter regions and to plants transformed with said constructs. More particularly the present invention relates to the controlled production of said proteins resulting in an alteration in plant growth characteristics, flowering time and in yield.

Photosynthesis is the major source of energy used to support biological processes in higher plants. The photosynthesising cells serve as important sources of photoassimilates or organic compounds produced in the plant by photosynthesis. Most fixed organic carbon is translocated from the source photosynthetic tissue to the non-photosynthetic organs which are known as the sink and this is the area in the plant where the translocated nutrients are either used or stored. The principal product of carbon fixation during the photosynthetic reaction is the disaccharide sucrose.

We have now found that by controlling the expression of DNA sequences coding for proteins involved in the transport, metabolism and uptake of sucrose using inducible promoter systems, it is possible to alter the sucrose levels in the plant in a controlled manner to produce the desired change in flowering and/or plant weight and/or height at the appropriate stage in plant growth whereby any effects deleterious to the plant are avoided and the overall yield of the plant is increased. The use of controllable promoter regions permits the expression of said DNA sequences to be regulated in a very precise way such that the optimal level of expression, the optimal time at which the DNA sequence is expressed and the optimal location in the plant may be determined.

According to a first aspect of the present invention there is provided a method of increasing the yield of a plant comprising transforming a plant with a DNA construct comprising one or more DNA sequence(s) coding for a protein involved in sucrose sensing, transport, metabolism and/or uptake operably linked to a controllable promoter region and optionally operably linked to a transcription terminator and controlling the level, time and spatial location of expression of said DNA sequence(s) from said controllable promoter

region by application of an external chemical inducer whereby the yield of said transgenic plant is increased.

According to a preferred embodiment of the first aspect of the present invention there is provided a method of increasing the yield of a plant by selectively increasing the importation of fixed carbon into photosynthetically inactive sink tissues comprising transforming a plant with a DNA construct comprising one or more DNA sequence(s) coding for a protein involved in sucrose sensing, transport, metabolism and/or uptake operably linked to a controllable promoter region and optionally operably linked to a transcription terminator and controlling the level, time and spatial location of expression of said DNA sequence(s) from said controllable promoter region by application of an external chemical inducer whereby the transportation of fixed carbon from photosynthetically active source tissue to photosynthetically inactive sink tissue of said transgenic plant is selectively increased.

As used herein the term "source tissue" is used to denote those photosynthetically active tissues of the plant which are net exporters of fixed carbon and "sink tissue" denotes those photosynthetically inactive tissues of the plant which are net importers of fixed carbon.

It is economically and practically very desirable to be able to control both the ability to flower and the time of flowering of a plant. In some instances it may be desirable to synchronise flowering or to switch on flowering early or to manipulate flowering behaviour to suit the constraints imposed by growing in particular geographical areas. Generally an increase in the number of flowers is reflected in an increase in the eventual yield from the plant due to the increase in the number of seeds.

Similarly, an increase in the fresh weight of a plant as measured by an increase in leaf area results in an increase in yield due to the increase in the photosynthetic capacity of the plant.

Yield depends upon at least two parameters:- (i) sink induction and (ii) sink growth. Amongst other factors, sink induction can be stimulated by reducing the assimilate supply. This happens when invertase, for example, is induced in leaves. Sink growth depends upon the amount of assimilates allocated to the specific sink. This can be stimulated by sink-specific expression of the invertase. Since invertase activity negatively effects starch

synthesis, chemical control of invertase expression is clearly advantageous over its constitutive expression.

An increase sink supply is likely to result in larger tubers when invertase expression is induced. The early flowering phenotype is, however, believed to be explained by a
5 transient shortage of assimilate supply.

According to a second aspect of the present invention there is provided a method of controlling the flowering behaviour of a plant comprising transforming a plant with a DNA construct comprising one or more DNA sequence(s) coding for a protein involved in sucrose sensing, transport, metabolism and/or uptake operably linked to a controllable promoter
10 region and optionally operably linked to a transcription terminator and controlling the level, time and spatial location of expression of said DNA sequence(s) from said controllable promoter region by application of an external chemical inducer whereby the flowering behaviour of said transgenic plant is altered.

The method of controlling flowering behaviour may be used to speed up the growth
15 cycle of a plant such that more generations are produced.

The controllable promoter region in all aspects and embodiments of the present invention mentioned herein preferably comprises an inducible switch promoter system such as, for example, the *alcA/alcR* gene switch promoter system described in published International Patent Application No. WO 93/21334; the GST promoter as described in
20 published International Patent Application Nos. WO 90/08826 and WO 93/031294; and the ecdysone switch system as described in published International Patent Application No. WO 96/37609 the teachings of which are incorporated herein by reference. Such promoter systems are herein referred to as "switch promoters". Switch promoter systems are particularly suitable for use in the method of the present invention since they allow the
25 expression of DNA sequences to be switched on different parts of a transgenic plant at different times by means of sequential induction where the chemical inducer can be applied to the desired area of the plant at the desired stage of growth. For example, the switch chemical may be applied as a spray or vapour to all or part of the transgenic plant or as a root drench.

Examples of suitable switch chemicals are provided in the above references describing the switch promoter systems and are illustrated in the accompanying examples. The switch chemicals are desirably agriculturally acceptable chemicals.

Inducible promoter systems preferably include one or two component systems.

- 5 Systems comprising more than two components are, however, also included. The switch system may be driven by a constitutive promoter or, preferably, by a tissue or organ specific promoter whereby the target gene is only switched on in a target tissue or organ.

The alcA/alcR switch promoter system is particularly preferred for use in all aspects of the present invention mentioned herein.

- 10 The alcA/alcR inducible promoter system is a two component system involving DNA sequences coding for the alcA promoter and the alcR protein, the expression of which is placed under the control of desired promoters. The alcR protein activates the alcA promoter in the presence of inducer and any gene placed under the control of the alcA promoter will therefore be expressed only in the presence of inducer. The promoter controlling expression
15 of the alcR regulatory protein is preferably be a tissue or organ selective promoter, such as a leaf or tuber specific promoter, such that alcR is produced and alcA activated resulting in expression of the DNA sequence coding for the protein of interest only in selected parts of the plant such as for example the leaf, fruit, grain, endosperm or seed. When the method of the present invention is for use in cereal crops the expression of alcR is desirably controlled
20 by a seed specific promoter; for use in grain the expression of alcR is desirably controlled by promoters associated with genes involved in starch synthesis or with seed storage proteins and for use with forage crops the expression of alcR is desirably controlled by leaf specific promoters. Examples of tissue or organ selective promoters are well known in the art and include for example seed specific promoters such as the Ltp2 promoter (Kalla et al, Plant J 6
25 (6) 849-60, (1994)), the zmGBS, the zmZ27, the osAGP and the osGT1 promoters (Russell and Fromm, Transgenic Res 1997, 6 (2) 157-68), the CMd promoter (Grosset et al, Plant Mol Biol 1997 34(2) 331-338), the glycinin A2B1a promoter, (Itoh et al Mol Gen Genet 1994 243(3) 353-357), the oleosin promoter from Brassica napus (Keddie et al Plant Molecular Biology 19 443-453, (1992)), the MatP6 oleosin promoter from cotton (Hughes et al, Plant
30 "hysiol (1993) 101 697-698), the oleosin promoter from Arabidopsis (Plant et al, Plant Mol Biol. 25 193-205 (1994)), the zein promoter (Ottoboni et al Plant Mol Biol (1993) 21, 765-

778), and fruit and organ specific promoters such as the patatin promoter (Rocha-Sosa et al EMBO J 8 23-30 1989), the promoter family associated with ribulose-1,5-bisphosphate carboxylase/oxygenase small subunit genes from tomato (Meier, Plant Physiol 107 (4) 1105-1118 (1995)), tomato rbcS3B and rbcS3C promoters (Carrasco Plant Mol Biol 21 (1) 1-15 (1993), the leaf promoter STL1 (Eckes et al Mol. Gen Genet 205 14-22 (1986)) and the rolC promoters.

According to a further preferred embodiment of the present invention there is provided a method of increasing plant yield comprising transforming a plant with a DNA construct comprising one or more DNA sequence(s) coding for a protein involved in sucrose sensing, transport, metabolism and/or uptake operably linked to the alcA/alcR controllable promoter region wherein the promoter controlling expression of the alcR regulatory protein is a tissue or organ specific promoter and is optionally operably linked to a transcription terminator and controlling the level, time and spatial location of expression of said DNA sequence(s) from said controllable promoter region by application of an external chemical inducer whereby the yield of said transgenic plant is increased.

Examples of DNA sequences which may be used in the method of the present invention to increase plant yield and to control flowering behaviour include those DNA sequences coding for proteins involved in the transport, uptake and subsequent metabolism of sucrose e.g. phosphofructokinase, invertase and hexokinase; in sucrose biosynthesis e.g. sucrose synthase, sucrose phosphate synthase and fructose-1,6-bisphosphatase; in the transport of reserves during dormancy such as in phloem loading e.g. ATPase and sucrose and hexose transport proteins; in long distance phloem transport and in phloem unloading e.g. inorganic pyrophosphorylase (iPPase); in the utilisation of assimilates e.g. utilisation of sucrose-derived metabolites; in blocking starch synthesis (indirectly leading to increased sucrose levels); and invertase inhibitors.

The use of a controllable promoter region allows the production of the DNA sequence to be switched on in a controlled manner at the appropriate time in the growth cycle of the plant. We have unexpectedly found that the controlled expression of an invertase gene using the alcA/alcR switch promoter system leads to an increase in plant height, an increase in leaf size and to an increase of up to 10% in the fresh weight of a plant and accelerates the time at which the plants flower i.e the plants flower early.

According to another preferred embodiment of the present invention there is therefore provided a method of increasing plant yield comprising transforming a plant with a DNA construct comprising a DNA sequence coding for an invertase operably linked to a controllable promoter region and optionally operably linked to a transcription terminator and controlling the level, time and spatial location of expression of said DNA sequence from said controllable promoter region by application of an external chemical inducer whereby the yield of said transgenic plant is increased.

According to yet another preferred embodiment of the present invention there is therefore provided a method of controlling the flowering behaviour of a plant comprising transforming a plant with a DNA construct comprising a DNA sequence coding for an invertase operably linked to a controllable promoter region and optionally operably linked to a transcription terminator and controlling the level, time and spatial location of expression of said DNA sequence from said controllable promoter region by application of an external chemical inducer whereby the flowering behaviour of said transgenic plant is altered.

The invertase may be derived from mammalian, bacterial, yeast, fungal or plant sources and may be different types such as acid invertase or neutral invertase. Invertase may be directed to different cellular locations such as the cell wall, the cytosol, the vacuole or apoplast by means of signal peptides (see Sonnewald *et al.* 1991 Plant J. 1:95-106).

According to a third aspect of the present invention there is provided a DNA construct comprising a DNA sequence coding for a protein involved in sucrose metabolism, uptake and/ or transport operably linked to a controllable promoter region.

The DNA constructs according to the present invention may also optionally contain a transcription terminator sequence and/ or a targeting sequence such that the invertase is targeted to a desired location within the plant. Examples of transcription terminators include the nopaline synthase transcription terminator and examples of suitable targeting sequences include for example signal sequences and vacuolar targeting sequences.

In a preferred embodiment of this aspect of the present invention the DNA sequence codes for invertase and the controllable promoter region is an inducible promoter region comprising the *alcA/alcR* switch promoter system.

Plant cells may be transformed with recombinant DNA constructs according to a variety of known methods such as with *Agrobacterium* Ti plasmids, electroporation,

microinjection, microprojectile gun. The transformed cells may then be regenerated into whole plants in which the new nuclear material is stably incorporated into the genome. Some of the progeny of these primary transformants will inherit the recombinant DNA according to the present invention.

5 According to a fourth aspect of the present invention there is provided plant tissue transformed with a DNA construct comprising a DNA sequence coding for a protein involved in sucrose metabolism, uptake and or transport operably linked to a controllable promoter region and to the progeny of said plants.

10 Examples of suitable plants the yield of which may be increased and the flowering behaviour of which may be controlled according to the methods of the present invention and which may be transformed with DNA constructs according to the present invention include, for example, monocotyledonous and dicotyledonous plants such as field crops, cereals, fruit and vegetables such as : canola, sunflower, tobacco, sugarbeet, cotton, soya, maize, wheat, barley, rice, sorghum, tomatoes, mangoes, peaches, apples, pears, strawberries, bananas,
15 melons, potatoes, carrot, lettuce, cabbage and onion; trees such as eucalyptus and poplar trees and cut flowers and ornamentals.

The method of the present invention may be particularly useful for improving the uniformity of banana fillings in a hand of bananas where commonly the banana fingers at the top of the hand fill first and split while those at the bottom are not full enough. According to
20 the method of the present invention the sink strength of the bananas may be altered such that fixed carbon from those at the top of the hand may be drawn into those bananas at the bottom leading to a more uniform hand size.

The present invention is further illustrated only by way of example with reference to the following Examples and Figures in which :-

25 Figure 1 shows invertase activity in source leaves of transgenic Alc:cytosolic invertase and Alc: cell wall invertase tobacco plants following ethanol induction

Figure 2 shows a graphical representation of quantum yield of wild type and transgenic tobacco plants at various time points after induction.

Figure 3 shows a histogram analysis of invertase activity in transgenic plants at two
30 different concentrations of ethanol (i.e. for wild type, alc:INV27, alc:INV28 and 35ScytINV

Figure 4 shows histogram analysis of a) invertase activity; b) fresh weight; c) height and d) % flowering plants in wild type and transgenic tobacco plants induced by ethanol (i.e. wild type, alc:INV27, alc:INV10 and alc:INV28)

Figure 5 shows a photograph of Alc invertase lines 27, 28, 10 and wild type

5 A) no ethanol B) with ethanol

Figure 6 shows transient expression of invertase increased flower number in Alc:cyINV but not Alc:cwINV tobacco plants

Figure 7 shows the alteration of flowering behaviour in both Alc:cyINV and Alc:cwINV on expression of invertase

10 Figure 8 shows transient expression of invertase leading to early flowering in tobacco plants

Figure 9 shows the draft strategy for cloning an Alc GUS construct with an L700 promoter

Figure 10 shows organ specific expression of L700::Alc:GUS tobacco plants after 48 hours induction

15 Figure 11 shows the draft strategy for cloning an Alc GUS construct with patatin B33 promoter

Figure 12 shows a histogram analysis of GUS activity in wild type and transgenic potato tubers 0 and 7 days after induction

Figure 13 shows a histogram analysis of the levels of GUS activity observed in tubers of potato transformed with a patatin:alc:GUS construct induced with ethanol

20 Figure 14 shows tissue specific and ethanol inducible GUS expression in transgenic tobacco plants: tuber-specific expression of the alcR protein

Figure 15 shows the plasmid construction of patatin B33::Alc:cwINV

Figure 16 shows invertase activity in patatin:Alc:cwINV potato tubers induced by ethanol

25 Figure 17 shows carbohydrate content in Pat::cwINV and Pat::Alc:cw INV potato growing tubers

Figure 18 shows increased potato tuber size resulting from early induction of apoplastic invertase expression

EXAMPLES

30 We have adapted the *alc* regulon of the ascomycete fungus *A. nidulans*, which has been well characterised (Pateman et al, Proc. Roy. Soc. London B 217, 243 (1983), Creaser

et al, Biochem J. **225** 449 (1985), Lockington et al, Mol. Microbiol. **1**, 275 (1987), Felenbok et al, Gene **73**, 385 (1988), Felenbok et al, J. Biotechnol. **17**, 11 (1991), Kulmberg et al, J. Biol. Chem. **267**, 21146 (1992), Kulmberg et al, Mol. Microbiol. **7** 847, (1993), and Fillinger and Felenbok, Mol. Microbiol. **20** 475 (1996)). From classical genetics, it has been assumed

5 that this is a self-contained genetic system that controls the cellular response to ethanol and other related chemicals. In *A. nidulans*, the *alcA* and *aldA* genes encode alcohol dehydrogenase I and aldehyde dehydrogenase, respectively (Pateman et al, Proc. Roy. Soc. London B **217**, 243 (1983), Creaser et al, Biochem J. **225** 449 (1985), Lockington et al, Mol. Microbiol. **1**, 275 (1987), Felenbok et al, Gene **73**, 385 (1988), Felenbok et al, J. Biotechnol.

10 **17**, 11 (1991), Kulmberg et al, J. Biol. Chem. **267**, 21146 (1992), Kulmberg et al, Mol. Microbiol. **7** 847, (1993), and Fillinger and Felenbok, Mol. Microbiol. **20** 475 (1996)). Both of these genes are regulated by the pathway-specific AlcR transcription factor (Pateman et al, Proc. Roy. Soc. London B **217**, 243 (1983), Creaser et al, Biochem J. **225** 449 (1985), Lockington et al, Mol. Microbiol. **1**, 275 (1987), Felenbok et al, Gene **73**, 385 (1988),

15 Felenbok et al, J. Biotechnol. **17**, 11 (1991), Kulmberg et al, J. Biol. Chem. **267**, 21146 (1992), Kulmberg et al, Mol. Microbiol. **7** 847, (1993), and Fillinger and Felenbok, Mol. Microbiol. **20** 475 (1996)). The AlcR protein binds to specific sites within the *alcA* promoter region and, as we demonstrate here, must respond directly to the inducer molecule (Pateman et al, Proc. Roy. Soc. London B **217**, 243 (1983), Creaser et al, Biochem J. **225** 449 (1985),

20 Lockington et al, Mol. Microbiol. **1**, 275 (1987), Felenbok et al, Gene **73**, 385 (1988), Felenbok et al, J. Biotechnol. **17**, 11 (1991), Kulmberg et al, J. Biol. Chem. **267**, 21146 (1992), Kulmberg et al, Mol. Microbiol. **7** 847, (1993), and Fillinger and Felenbok, Mol. Microbiol. **20** 475 (1996)).

The *alc* regulon was considered suitable for a plant gene expression cassette for a

25 number of reasons. First, the minimal regulon would include only the *alcR* gene and the *alcA* promoter. Second, the evolutionary divergence between *A. nidulans* and higher plants would make it unlikely that any plant homologues of the AlcR protein would activate the promoter: AlcR contains a zinc binuclear cluster like Gal4 (Pateman et al, Proc. Roy. Soc. London B **217**, 243 (1983), Creaser et al, Biochem J. **225** 449 (1985), Lockington et al, Mol. Microbiol.

30 **1**, 275 (1987), Felenbok et al, Gene **73**, 385 (1988), Felenbok et al, J. Biotechnol. **17**, 11 (1991), Kulmberg et al, J. Biol. Chem. **267**, 21146 (1992), Kulmberg et al, Mol. Microbiol. **7**

847, (1993), and Fillinger and Felenbok, Mol. Microbiol **20** 475 (1996)) which has only been found in fungi so far. In addition, no other plant transcription factors were likely to cause interference at the *alcA* promoter. Third, the chemical inducers are relatively simple organic molecules with low phytotoxicity. Fourth, under normal growth conditions, the levels of natural inducers in the plant would be extremely low.

To test the efficacy of the system, plant expression cassettes were constructed. Construction of the *alc* derived gene constructs. p35S: *alcR* (A) utilised the 35S promoter from the Cauliflower Mosaic Virus to express AlcR protein from a cDNA. A partial *alcR* cDNA (provided by Felenbok) was excised from its Bluescript vector (Stratagene) by partial digestion with *Bam*HI, ligated to *Bam*HI digested pJR1 (Smith et al, Nature **334**, 724 (1988)), a pUC derived vector containing the CaMV 35S promoter and the *nos* terminator, and transformed into *E. coli* XL-1 Blue (W.O. Bullock et al. BioTechniques **5**, 376 (1987); J. Sambrook et al., Molecular cloning: A laboratory manual, edn. (Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York, 1989). The *alcA* reporter cassette, *palcA*: CAT (B), was constructed by digestion of pCaMVCN with *Hind*III and *Bam*HI to remove the promoter. (pCaMVCN is a plant expression vector available from Pharmacia. It is a pUC - derived vector in which the CaMV 35S promoter expresses the bacterial CAT gene. The terminator is from the *nos* gene of *A. tumefaciens*.) Since the TATA boxes of the *AlcA* and 35S promoters were identical (5'TCTATATAA3'), recombinant PCR was used to amplify and fuse both fragments through this site (Higuchi in PCR Protocols, M.A. Innis et al, eds (Academic Press, San Diego (1990) p177-183). The *alcA* PCR product extended from the TATA box for 246 bp upstream, and included ALCR binding sites (Pateman et al, Proc. Roy. Soc. London B **217**, 243 (1983), Creaser et al, Biochem J. **225** 449 (1985), Lockington et al, Mol. Microbiol **1**, 275 (1987), Felenbok et al, Gene **73**, 385 (1988), Felenbok et al, J. Biotechnol. **17**, 11 (1991), Kulmberg et al, J. Biol. Chem **267**, 21146 (1992), Kulmberg et al, Mol. Microbiol. **7** 847, (1993), and Fillinger and Felenbok, Mol. Microbiol **20** 475 (1996)). The 35S PCR product included the common TATA box sequence and extended downstream to span a convenient *Bam*HI site for subsequent cloning; the minimal 35S promoter is known not to be expressed in plants (It has been shown that a minimal 35S promoter containing only those sequences between positions -46 and +5 lacks the ability to initiate transcription (Odell et al Nature **346**, 390 (1985); Hilson et al, Plant Cell

2 651 (1990) Schena et al Proc. Natl. Acad Sci USA 88, 10421 (1991). It is reasonable to expect that fusion of *palcA* through the TATA sequence (positions -31 to +1) would also be inactive.) The resultant product was digested with *Hind*III and *Bam*HI, and ligated into the promoterless pCaMVCN. After transformation into *E.coli*, colonies were screened to select a plasmid which contained the appropriate *palcA*:35S fusion promoter, and the *Hind*III and *Bam*HI fragment was sequenced to ensure that there were no PCR errors. The *palcA*::*Inv* construct was obtained by deletion of the GUS reporter gene from plasmid *palcA*:GUS and insertion of the truncated yeast *suc2* gene isolated from plasmid *roIC-suc2* as a *Bam*HI fragment (Lerchl et al (1995) Plant Cell 7, 259 (1995). For plant transformation, the p35S:*alcR* cassette was cloned into a Bin19-derived vector (Deblacre et al, Nucleic Acids Res. 13, 4777 (1985), together with either the *palcA*:CAT or the *palcA*::*Inv* construct, transformed into *A. tumefaciens* (Holsters et al. Mol. Gen. Genet. 163, 181 (1978); Vervliet et al. J. Gen. Virol 26, 33 (1975)). Tobacco transforming using *Agrobacterium*-mediated gene transfer was carried out as described previously (Rosahl et al EMBO J. 6 1159 (1987) and Komari et al Plant Science 60 223 (1989)).

The bacterial chloramphenicol acetyltransferase gene (CAT) was used as a reporter gene so that levels of expressed protein could be determined using ELISA. When transformed into *A. nidulans* (Ballance and Turner Gene 36, 321 (1983); Campbell *et al*). Curr. Genet. (1989), the *palcA*:CAT construct showed inducible CAT activity, and p35S:*alcR* restored the wild-type phenotype to an *alcR* mutant (data not shown). Transient assays (Callis et al Genes and Develop 1 1183 (1987)) in maize protoplasts revealed that the AlcR protein could stimulate the transcription from the *alcA* promoter in plant cells and that expression was at least partially regulated by ethanol (data not shown).

After *Agrobacterium tumefaciens* mediated transformation a transgenic tobacco plant carrying the p35S:*alcR* and *palcA*:CAT cassettes was selected and tested by PCR for the presence of both cassettes (data not shown). This plant was selfed, and the seedling progeny assayed for both the selectable marker and CAT expression. The construct segregated among the progeny in a Mendelian ratio (1 non-transgenic: 2 hemizygous: 1 homozygous) consistent with a single copy of the cassettes in the parent plant (data not shown).

A selected seedling was grown to maturity to produce a homozygous line. Seedlings of this plant were tested for CAT protein in comparison to seedlings of a similar plant

transformed with a construct which expressed CAT from the constitutive high activity CaMV 35S promoter (Table 1). The homozygous *palc::CAT* seedlings had barely detectable CAT protein in the absence of induction, but had 39% of the CAT activity of the untreated p35S:CAT seedlings and 55% relative to the ethanol-treated p35S:CAT seedlings. Thus, ethanol treatment of p35S:CAT seedlings resulted in a reduction [29%] in CAT protein levels relative to the untreated control.

While the induced levels of expression were lower than that from the 35S promoter, the negligible basal activity indicated its suitability for the manipulation of carbon metabolism. A range of inducible invertase vectors were made by replacing the CAT reporter gene with the truncated yeast *suc2* GENE 100, a cytosolic yeast derived invertase. In this regard, the Bam HI fragment isolated from plasmid RolC::Suc2 (Lerchl *et al.*, 1995, Plant Cell 7, 259-270) was used to replace the reporter gene. The fragment contained nucleotides 848 to 2393 of the yeast *suc2* gene (Accession number Y01311) and encoded an invertase protein without a signal peptide. Invertases from other sources and of different type, such as acid invertase, or other targeted invertases were also made using transit peptide invertase combinations described in Sonnewald *et al.*, 1992, Plant J. 1:95-106 which may be expressed in the cell wall or subcellular locations such as the vacuole or the apoplast (details of cloning are described in Caddick *et al.* Nature Biotech, Vol 16, Feb 1998 page 177 and in Lerchl J. *et al.* 1995, Plant Cell 7: 250-270 and Sonnewald *et al.*). Transgenic tobacco plants carrying *palc::cyInv* were isolated (Tobacco (*Nicotiana tabacum* cv. Samsun NN) transformation using *Agrobacterium*-mediated gene transfer was carried out as described by Rosahl *et al.* EMBO J. 13, 1 (1987)). After screening about 100 independent kanamycin resistant regenerants for ethanol inducible invertase activity, 23 invertase expressing plants could be identified. Of 23 plants exhibiting inducible invertase activity, three lines [10, 27 and 28] were selected for more detailed analysis. To this end, plants were multiplied in tissue culture and 50 plants of each line were transferred into the greenhouse. After 21 days of growth in 2L pots, initial induction was carried out via root drenching with 100ml of a 1% ethanol solution (v/v). To accelerate the ethanol response, induction was repeated at 48 and 72 hours after the initial root drench. To assay invertase activity, samples were taken at 0, 1, 6, 24, 48, 72 and 96 hours after the initial induction (see Figure 1). Elevated invertase activity was measurable in all three transgenic lines already 6 hours after the first addition of

ethanol. Invertase activity increased steadily reaching a plateau 96 hours after the initial root drenching in two lines [10 and 27], while in the third [28], it was still increasing.

Phenotypic modification started 72 hours after ethanol induction and was strongest after 96 hours. The final phenotype was identical to the previously published results using the 35S CaMV promoter to drive the expression of cytosolic yeast invertase (Sonnewald et al Plant J. 1, 95 (1991)). Development of this phenotype followed maximal invertase activity and was most severe in transformant 28. Photosynthesis fluorescence measurements were used to monitor changes of quantum yield (Schreiber et al, in Ecophysiology of Photosynthesis Vol. 100, Schuize and Caldwell, Eds (Springer Verlag, Berlin, 1994), pp 49-70.) of all three transformant lines *in vivo* throughout the induction experiment. During the course of ethanol treatment, quantum yield did not change markedly in the youngest leaves (leaves A 8% of maximal leaf area). However, coinciding with the developing visual phenotype quantum yield decreased significantly ($p > 0.05$) in leaves B (15% of max) and C (45% of max) of plants from line 10 and 28 starting 72 hours after the initial induction and developing further until the final time point at 96h.

Figure 2 shows evidence for a reduced rate of photosynthesis following the increase of invertase activity in transgenic tobacco plants as determined by quantum yield measurements. Fluorescence measurements were used to monitor changes in photosynthetic parameters during induction of invertase activity using the PAM-2000 instrument (Walz, Effeltrich, Germany). Quantum use efficiency (quantum yield) of photosystem II (PSII) was measured by applying a saturating light beam on light adapted leaves of wildtype and transformed plants (palc:Inv). Before each measurement, it was verified that the saturating pulse had reached a plateau to allow an accurate determination of F_m' . The intensities of the measuring and saturating light beam were adjusted to reach a FO' value close to 0.4. Measurements were conducted on different leaves having reached 8% (A), 15% (B), or 45% (C) of maximal leaf area of five plants of each genotype at the indicated time points.

Quantum yield of three succeeding light adapted leaves (leaf A-C) starting from the top of the plant was measured using a PAM-2000 instrument at the time points indicated. Values given are the means \pm SE ($n=5$). For plants of line 28, quantum yield was reduced by

23% ($p < 0.05$) and 27% ($p < 0.05$) and for plants of line 10 only by 6% and 17% ($p < 0.05$),

respectively. Due to heterogeneity of the developing phenotype between individual plants from each genotype, standard errors were higher in the affected leaves (B and C).

The table below shows CAT activity levels in transgenic tobacco. Individual seedlings from a homozygous transgenic tobacco line carrying the CAT gene expressed from the *alcA* promoter were compared with those from a similar line transformed with p35S:CAT. Plants were grown on liquid media until 4 weeks old, and showed four true leaves (seed progeny of tobacco plants were grown by sowing seeds directly onto a 2cm layer of sterile alcatheane beads (5mm diameter) floating on a sterile solution of 0.5% (w/v) Miracle Gro in 500ml beakers. The beakers were covered with a perforated plastic bag and incubated at 25°C under high intensity lights in a growth room). Induction was achieved by the addition of 0.1% ethanol to the growth medium for 120 h. The induction medium was replaced at 58 h to maintain ethanol concentrations. One leaf was taken prior to induction, and one leaf after induction. CAT ELISA (Boehringer Mannheim) was performed on crude cell extracts; total protein was determined as described previously (Bradford, Anal Biochem 72: 243 (1976). All values are ng CAT protein per mg total protein, and represent the mean of nine individual replicates \pm one standard deviation.

<u>Line</u>	<u>Untreated</u>	<u>Ethanol-induced</u>
palcA:CAT	0.36 \pm 0.43	30.37 \pm 3.91
p35S:CAT	78.08 \pm 30.44	55.46 \pm 10.85

It can be seen from Figure 3 that the invertase activity in transgenic plants is dose-dependent and that the activity at 5% ethanol is significantly greater than it is at 1% ethanol. It is, therefore, possible to regulate invertase in a dose dependent manner using the Alc switch.

In order to see the impact of inducible cytosolic invertase expression on plant growth and flowering time, tobacco plants were vegetatively propagated in tissue culture (Figure 4). Subsequently 50 plantlets each genotype were transferred into the greenhouse. In Figure 4, wt denotes a wild type transgenic control and lines 10, 27 and 28 represent 50 cloning propagated independent lines containing 35S:alc A:suc 2. Three weeks after transfer, plants were induced with 100ml 1% (v/v) via root drenching. Induction was repeated three times

(0, 48 and 72 hours). In particular, Figure 4a) shows cytosolic neutral invertase activity (suc 2) measured 96 hours after initial induction, Figure 4b) shows fresh weight of the above ground biomass 45 days after transfer and Figure 4c) shows plant height 45 days after transfer. Figure 4d) shows the percentage of plants which were flowering when scored 45 5 days after transfer.

To show the impact of ethanol inducible cytosolic invertase on plant height and flowering, plants were propagated in tissue culture and transferred into the greenhouse (Figure 5). Three weeks after transfer one half of the plants were induced with ethanol as described for Figure 4. The second half of the plants was transferred into a second 10 greenhouse without any ethanol treatment. The upper panel (A) shows four tobacco plants 7 weeks after transfer from tissue culture without ethanol induction. The lower panel (B) shows the same genotypes 4 weeks after initial ethanol induction. From left to right the following genotypes are shown: 1, line 27; 2, line 10; 3, line 28; line 4, untransformed control. The early flower phenotype was consistently found in all experiments.

15 In order to show that inducible invertase expression leads to an increased flower number per plant, 25 plants of each genotype (wt, cyt inv10, cy inv 27, cyt inv 28, cw inv 19, cw inv 28 and cw inv 45) were propagated in tissue culture and transferred into the greenhouse (Figure 6). Three weeks after transfer plants were induced as described above. The total number of flowers produced each plant was determined at the end of the growing 20 period.

As can be seen from Figure 7, transgenic plants expressing inducible invertase have accelerated flower induction. 25 plants of each genotype were propagated in tissue culture and transferred into the greenhouse. Three weeks after transfer plants were induced as described above. Subsequently, flower formation was followed throughout the growing 25 period. Plants were classified as flowering when the first flower bud was open. Values are given in [%] flowering plants per total number of plants (n=25).

As can be seen from Figure 8, the early flower phenotype is reproducible at different growing seasons by means of transient expression of invertase. In this regard, 50 (spring) and 25 (summer and autumn) plants of each genotype were used for the individual 30 experiments, respectively. After propagation, plants were transferred into the greenhouse and induction started three weeks after transfer as described above. At the indicated time

after transfer (dpt, days after transfer) plants with open flower buds were counted. Values are given in [%] flowering plants per total number of plants.

Preparation of Plasmid pSTSL1:AlcR:AlcA:GUS (SC08)

- 5 To obtain plasmid SC08, the EcoRI/HindIII fragment of plasmid AlcR/A GUS containing the AlcR coding region and the NOS terminator was subcloned into pBluescript SK-yielding plasmid pAlcR. Subsequently, plasmid pAlcR was digested with EcoRI, blunt ended with DNA polymerase (Klenow fragment), further restricted with HindIII and ligated into plasmid pBINSTSL1 after BamHI digestion, Klenow treatment and Hind III digestion yielding
- 10 plasmid pBIN:STSL1:AlcR. Plasmid pBINSTSL1 consists of the STSL1 promoter corresponding to nucleotide +1 to +1585 of the published sequence of the STSL1 gene from potato (Eckes et al (1986) Mol. Gen. Genet. 205 14-22) and the OCS (octopine synthase) terminator. The final construct SC08 was obtained by ligating the HindIII fragment from plasmid AlcR/A GUS containing the AlcA promoter, the GUS coding region and the NOS
- 15 terminator into the HindIII digested vector pBIN:STSL1:AlcR. The strategy for cloning an AlcGUS construct with the L700 promoter is shown in Figure 9.

- The tissue specific and ethanol inducible GUS expression in transgenic tobacco plants i.e. leaf/stem-specific control of the alcR protein is shown in Figure 10. Transgenic tobacco plants expressing the GUS reporter gene under control of the ethanol inducible
- 20 system were propagated in tissue culture and transferred into the greenhouse. Three weeks after transfer plants were induced via root drenching using 100ml 1% ethanol. 48 hours after induction tissue samples were harvested and GUS activity determined in protein extracts:- sink leaves, < 3cm; source leaves. 35S::Alc:GUS, root and stem expression of the alcR protein under control of the 35S CaMV promoter was used as a constitutive control.
- 25 L700:: Alc:GUS expression of the alcR protein under control of the leaf/stem-specific ST-LS1 promoter from potato in 4 independent transgenic lines (6, 9, 27 and 74) were also used.

Preparation of Plasmid B33:AlcR:AlcA:GUS (SC09)

- To obtain plasmid SC09, the EcoRI/HindIII fragment of plasmid AlcR/A GUS containing
- 30 the AlcR coding region and the NOS terminator was subcloned into pBluescriptSK- yielding plasmid pAlcR. Subsequently, plasmid pAlcR was digested with EcoRI, blunt ended with

DNA polymerase (Klenow fragment), further restricted with HindIII and ligated into the SmaI/HindIII digested plasmid pBIN:B33AlcR. Plasmid pBINB33 consists of the patatin class I promoter, corresponding to nucleotide -1512 to +14 of the patatin gene B33 (Rocha-Sosa et al. (1989) EBO J. 8 23-29) and the OCS terminator. The final construct SC09 was obtained by ligating the HindIII fragment from plasmid AlcR/A GUS containing the AlcA promoter, the GUS coding region and the NOS terminator into the HindIII digested vector pBIN:B33AlcR. A strategy for cloning an Alc GUS construct with a patatin B33 promoter is shown in Figure 11.

AlcR patatin alc A GUS vector

pSC09 (B33-alc GUS in Bin 19) was transformed directly into *Agrobacterium tumefaciens* strain C58C1:pGV2260 using the protocol described by Hofgen and Willmitzer (1988). Potato (var Solara) transformation using *Agrobacterium*-mediated gene transfer was performed as described by Roscha-Sosa et al (1989). Transgenic plants were duplicated in tissue culture and one set transferred to the glasshouse following root formation. Plants were grown to maturity and tubers harvested. For each independent transformant tuber, samples were taken for GUS analysis in the absence of ethanol treatment. Additional tubers were transferred to perspex boxes containing a pot of 1% ethanol. Following 7 days of ethanol vapour treatment, tubers were harvested and assayed for GUS activity. Figure 13 demonstrates that high levels of transgene expression is observed in the tubers following ethanol treatment.

Transgenic potato plants expressing the GUS reporter gene under control of the ethanol inducible system were propagated in tissue culture and transferred into the greenhouse. Two months after transfer, plants were induced via root drenching using 100ml 1% ethanol. 48 hours after induction, tissue samples were harvested and GUS activity determined in protein extracts:- leaves, stems and tubers > 5g. Pat:GUS expression of the GUS reporter gene under control of the class I patatin promoter B33 acted as a control. Pat::Alc:GUS expression of the alcR protein under control of the tuber-specific B33 promoter from potato was also used. The activity is given in pmol MU/mg/min. Figure 14 shows tissue specific and ethanol inducible GUS expression in tobacco plants by tuber-specific expression of the alcR protein.

The EcoRI and HindIII fragment containing transactivator AlcR gene and NOS terminator was from plasmid 35S::AlcR-AlcA::GUS (35S::Alc::GUS, Plant Journal 1998, 16 (1) 127-132) and subsequently subcloned into Bluescript SK- (STRATAGENE) (AlcR in SK-). The plasmid AlcR in SK- was digested with EcoRI and filling-in with Klenow
5 fragment to make it blunt and further cut with HindIII. This EcoRI(-) - HindIII fragment was cloned into binary vector Bin-B33 cut by Small and HindIII resulting in the plasmid patatin B33::AlcR in pBIN19. The PCR product of yeast invertase with protein inhibitor II signal peptide (SP) sequence (von Schaeuwen *et al.* (1990) EMBO J. 9. 3033-3044) was cloned into pGEM-T vector by primer K83 and K84 which containing the Small site. The Small
10 fragment was subcloned into pUC-AlcA plasmid via BamHI site which was blunted by T4 DNA polymerase. The orientation was checked by combining Asp718 and EcoRI, and also with XbaI. The correct orientation plasmid was subsequently subcloned into patatin B33::AlcR in pBIN19 resulting the final plasmid patatin B33::AlcR-AlcA::cwINV in BIN19 (patatin B33::Alc::cwINV). The construction of the plasmid is shown in Figure 15.

Transgenic potato plants were propagated in tissue culture and subsequently transferred into the greenhouse. After tuber setting, plants were induced once with 100ml 1% ethanol (root drenching) and invertase activity determined in tubers. The left panel shows invertase activity prior to (0) and after (1) ethanol induction visualised after SDS-PAGE. An untransformed wild type was used as a control and compared against
20 independent transgenic lines 2, 3, 4, 5, 7 and 13 (see Figure 16).

Figure 17 shows the carbohydrate content of potato tubers two months after initial induction. Pat::cwINV, transgenic plants expressing yeast invertase were placed under control of the tuber-specific B33 patatin promoter (independent transgenic lines 3, 33 and 41). SC12 (Pat:: Alc::cwINV) transgenic plants (independent transgenic lines 2, 5, 7 and 13)
25 are ethanol inducible. Tuber-specific expression of cell wall invertase is caused via tuber-specific expression of the AlcR protein mediated by the B33 promoter.

Transgenic potato plants were propagated in tissue culture and transferred into the greenhouse. Ethanol induction occurred at three different development stages. 1st induction, 25 days after transfer, 2nd induction 32 days after transfer and a 3rd induction 39 days after transfer. 10 plants from each genotype were used for each induction experiments. Initial
30 induction occurred via root drenching. Due to the induction procedure plants induced after

25 days were vapour induced 32 and 39 days after transfer. Plants induced 32 days after transfer were induced a second time, whereas, plants induced 39 days after transfer were induced only once (see Figure 18).

Other modifications of the present invention will be apparent to those skilled in the art without departing from the scope of the invention.

CLAIMS

1. A method of increasing the yield of a plant comprising transforming a plant with a DNA construct comprising one or more DNA sequence(s) coding for a protein involved in sucrose sensing, transport, metabolism and/or uptake operably linked to a controllable promoter region and optionally operably linked to a transcription terminator and controlling the level, time and spatial location of expression of said DNA sequence(s) from said controllable promoter region by application of an external chemical inducer whereby the yield of said transgenic plant is increased.
2. A method of increasing the yield of a plant according to claim 1 by selectively increasing the importation of fixed carbon into photosynthetically inactive sink tissue comprising transforming a plant with a DNA construct comprising one or more DNA sequence(s) coding for a protein involved in sucrose sensing, transport, metabolism and/or uptake operably linked to a controllable promoter region and optionally operably linked to a transcription terminator and controlling the level, time and spatial location of expression of said DNA sequence(s) from said controllable promoter region by application of an external chemical inducer whereby the transportation of fixed carbon from photosynthetically active source tissue to photosynthetically inactive tissue of said transgenic plant is selectively increased.
3. A method of controlling the flowering behaviour of a plant comprising transforming a plant with a DNA construct comprising one or more DNA sequence(s) coding for a protein involved in sucrose sensing, transport, metabolism and/or uptake operably linked to a controllable promoter region and optionally to a transcription terminator and controlling the level, time and spatial location of expression of said DNA sequence(s) from said controllable promoter region by application of an external chemical inducer whereby the flowering behaviour of said transgenic plant is altered.
4. A method according to any one of the preceding claims wherein said DNA sequence(s) include those DNA sequences coding for proteins involved in the

transport, uptake and subsequent metabolism of sucrose, such as in sucrose biosynthesis; in the transport of reserves during dormancy such as in phloem loading; in long distance phloem transport and in phloem unloading; and in the utilisation of assimilates such as sucrose-derived metabolites.

5

5. A method according to claim 4 wherein said DNA sequence(s) include those coding for sucrose synthase, phosphofructokinase, invertase, hexokinase, inorganic pyrophosphorylase or ATPase.

- 10 6. A method according to claim 5 wherein the DNA sequence codes for an invertase.

7. A method according to any one of the preceding claims wherein said controllable promoter region comprises a chemically inducible promoter system.

- 15 8. A method according to claim 7 wherein the chemically inducible promoter is under the control of a tissue or organ selection promoter.

9. A method according to claim 7 or claim 8 wherein said chemically inducible promoter system comprises the alcA/alcR promoter system.

20

10. A method according to claim 9 wherein expression of the alcR regulatory protein is under the control of a tissue or organ selective promoter.

25

11. A DNA construct comprising a DNA sequence(s) coding for a protein involved in sucrose metabolism, uptake and or transport operably linked to a controllable promoter region.

30

12. A DNA construct according to claim 11 wherein said controllable promoter region comprises a chemically inducible promoter system.

13. A DNA construct according to claim 12 wherein said chemically inducible promoter system is the alcA/alcR switch promoter system.

14. A DNA construct according to claim 13 wherein the alcR regulatory protein is under the control of a tissue or organ selective promoter.

15. A DNA construct according to any one of claims 11 to 14 wherein said DNA sequence(s) comprise a DNA sequence coding for an invertase.

16. Plant tissue transformed with a DNA construct according to any one of claims 11 to 15 and the progeny of said plants.

17. A method or a DNA construct substantially as hereinbefore described with reference to any one of the Figures .

1/16

Fig.1.

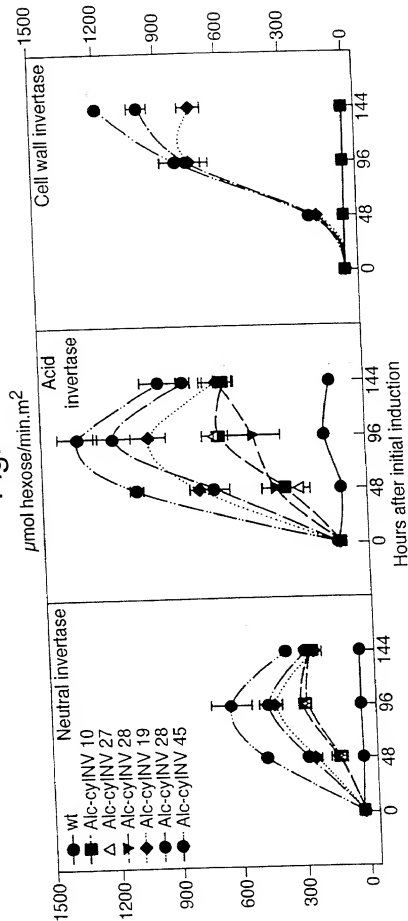
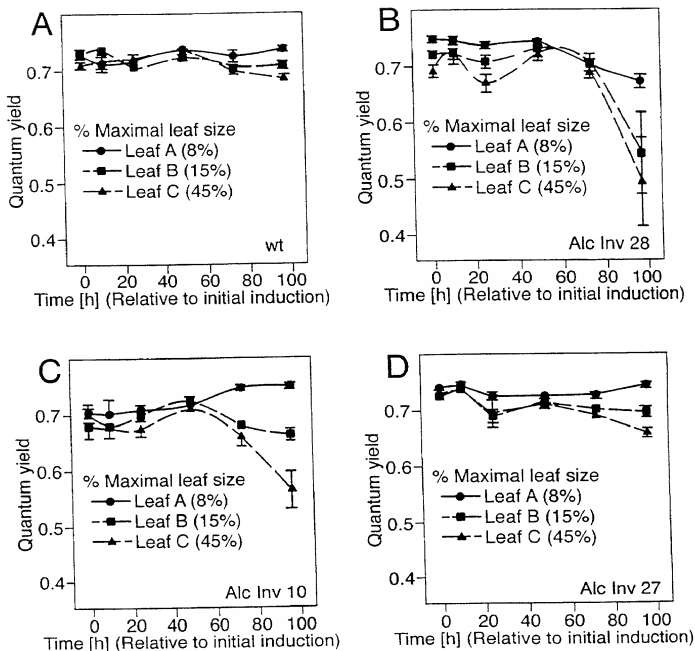


Fig.2.



3/16

Fig.3.

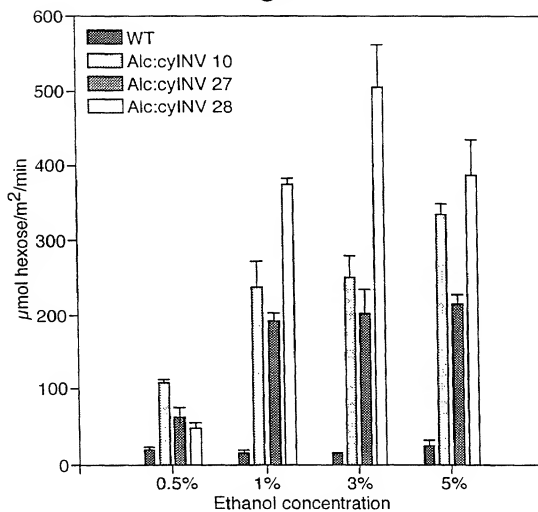


Fig.4.

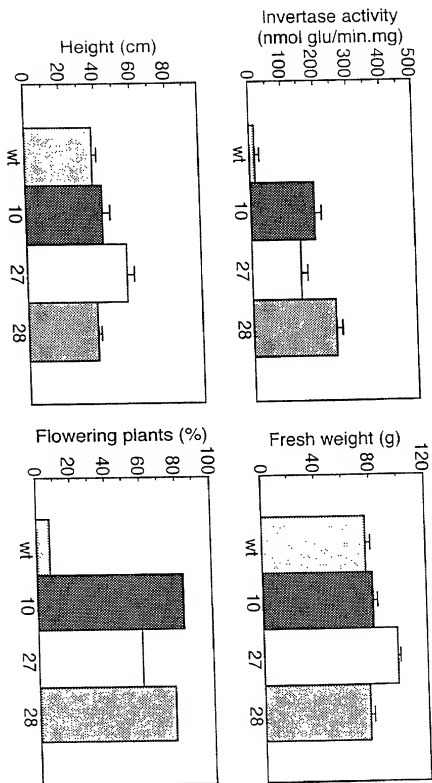


Fig.5.

A



B



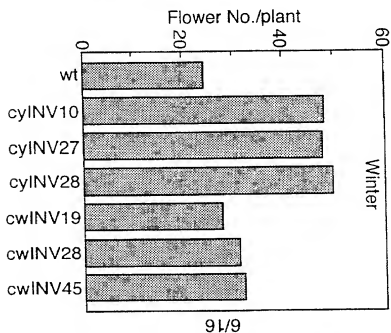
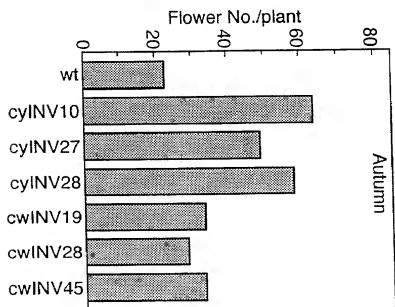
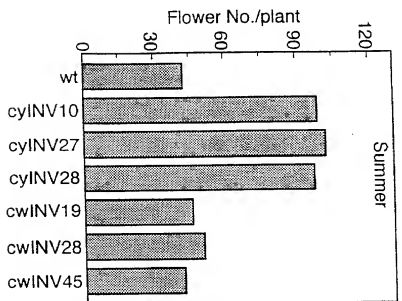
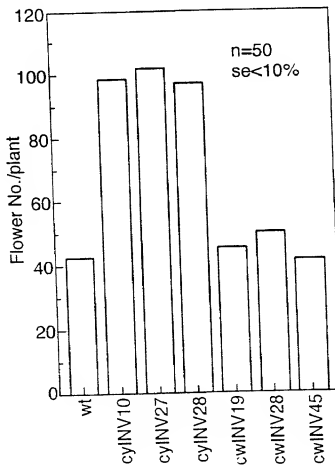
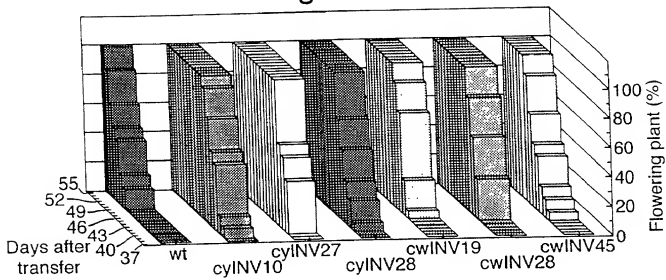
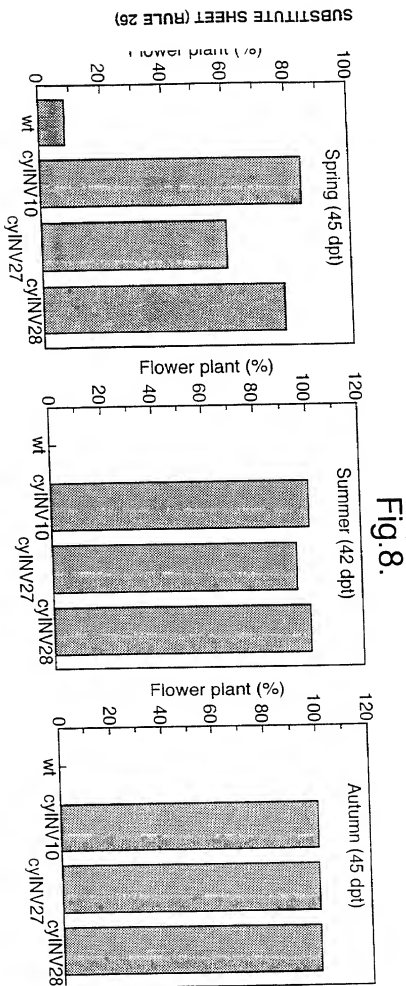


Fig.6.

Fig.7.



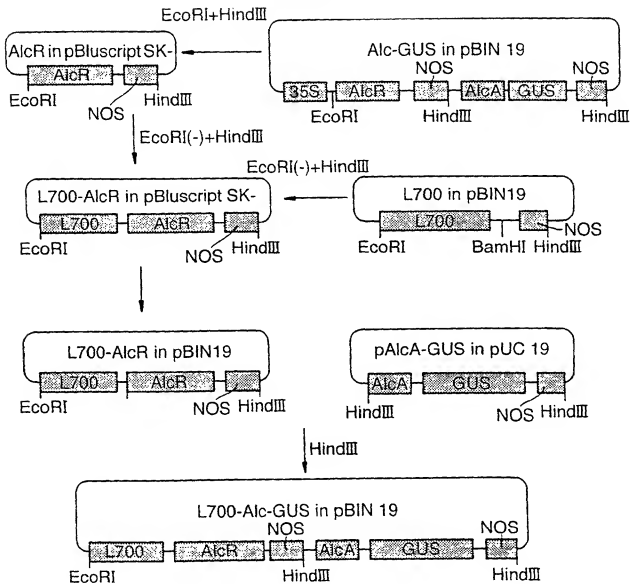
8/16



09581036, 0000000

9/16

Fig.9.



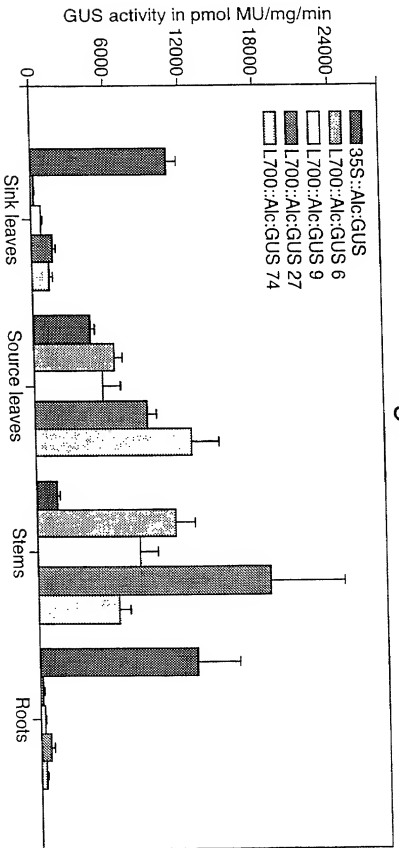


Fig.10.

09581036.080600

10/16

WO 99/29881

PCT/CB98/03687

09/581036

Fig.11.

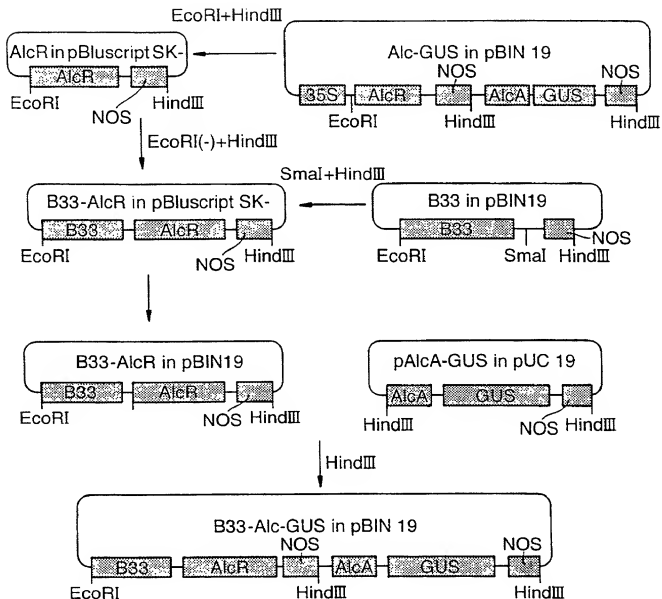
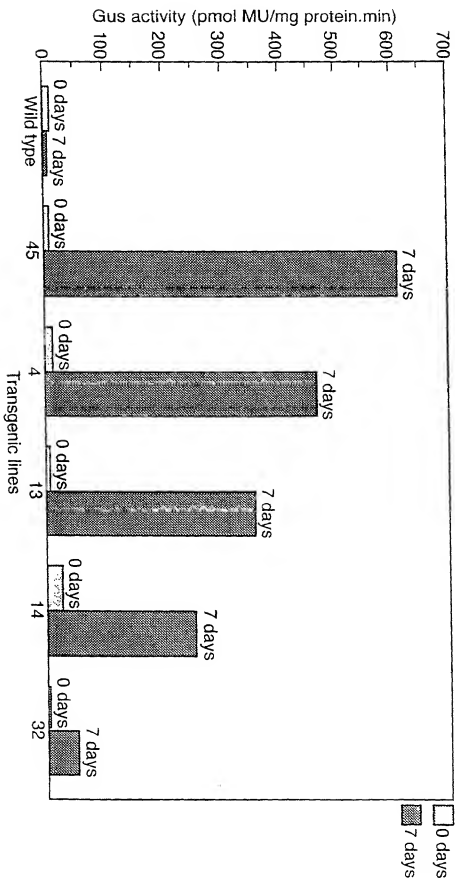


Fig.12.



12/16

PCT/GB98/03687

WO 99/29881

09/581036

09581036.000600

09/581036

13/16

Fig. 13.

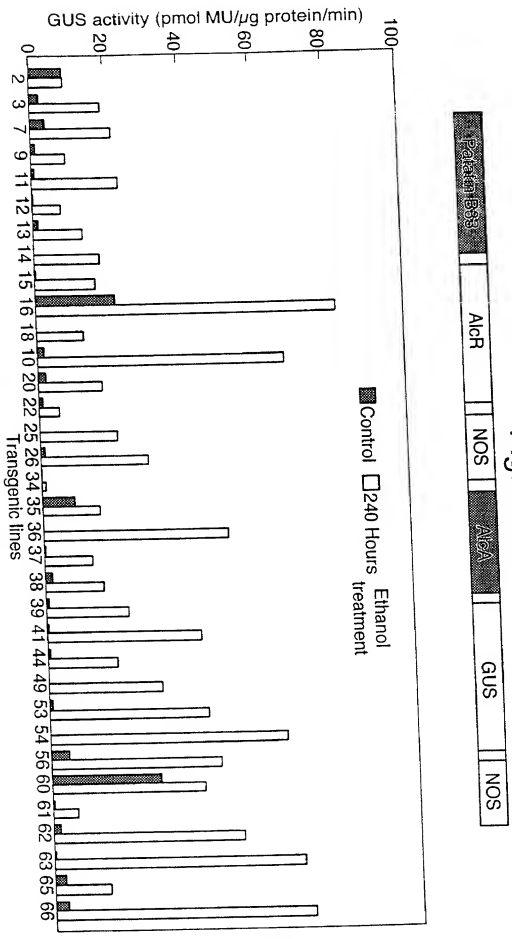


Fig. 14.

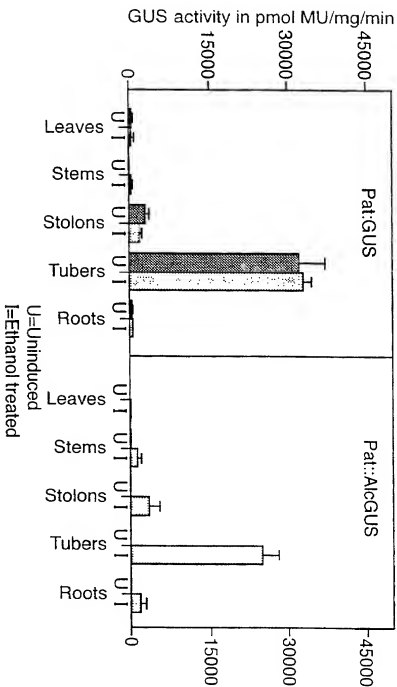
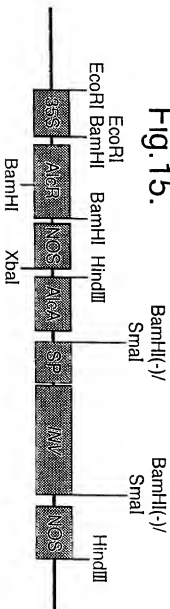


Fig. 15.



15/16

Fig.16.

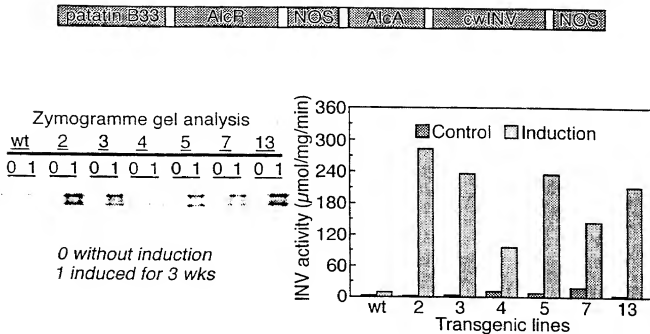
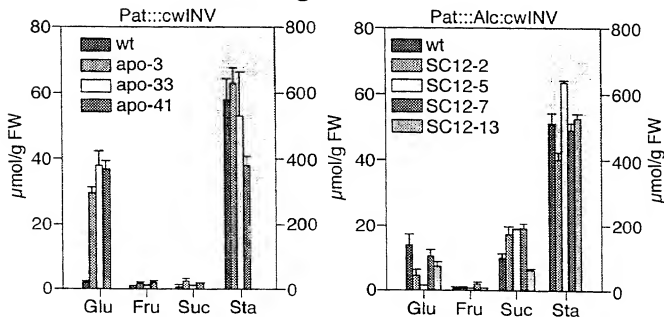
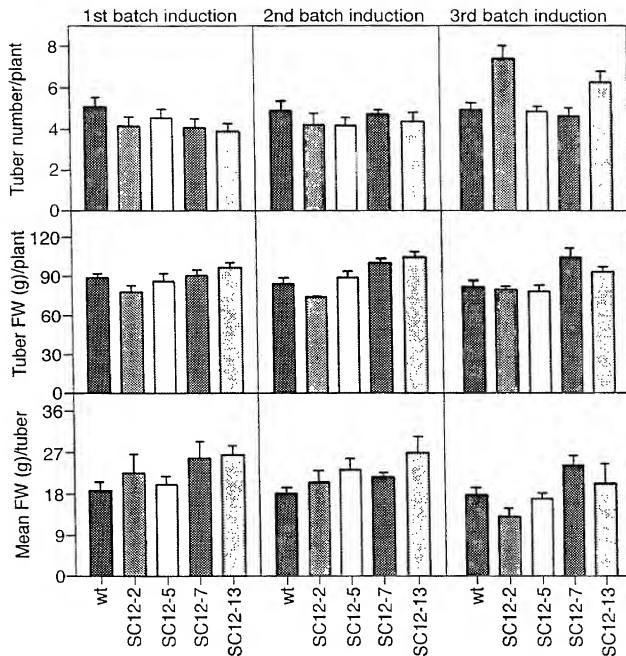


Fig.17.



16/16

Fig.18.



DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

GENETIC METHOD

the specification of which

 is attached hereto
 X was filed on 10th December 1998 as PCT International Application Number
PCT/GB98/03687 and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Number	Country	Filing Date	Priority Claimed	
			Yes	No
97121829.2	EPO	11 th December 1997	X	

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number	Filing Date

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT International Application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

Application Number	Filing Date	Status

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:
Tom Savitsky, Reg. No. 31,661; Dianne Burkhard, Reg. No. P41,650; Liza D. Hohenschutz, Reg. No. 33,712; Marian Thomson-Flattery, Reg. No. 31,432; William E. Dickheiser, Reg. No. 30,169.

Address all telephone calls to at telephone number (302)886-.

Address all correspondence to:

ZENECA Ag Products
Intellectual Property Department
1800 Concord Pike
P.O. Box 15458
Wilmington, DE 19850-5458

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) Chengcai CHU

Inventor's signature Chengcai CHU

Date 19-06-2000

Residence Gatersleben, Germany DEX

German

Post Office Address Institute of Plant Genetics & Crop Plant Research (IPK), Corrensstrasse 3, 06466 Gatersleben, Germany

Full name of second inventor, if any (given name, family name) Nan QU

Inventor's signature Nan QU

Date 09-6-00

Residence Gatersleben, Germany DEX

German

Post Office Address Institute of Plant Genetics & Crop Plant Research (IPK), Corrensstrasse 3, 06466 Gatersleben, Germany

Full name of third inventor, if any (given name, family name) Uwe SONNEWALD

Inventor's signature Uwe SONNEWALD

Date 9-6-00

Residence Gatersleben, Germany DEX

German

Post Office Address Institute of Plant Genetics & Crop Plant Research (IPK), Corrensstrasse 3, 06466 Gatersleben, Germany

Full name of fourth inventor (given name, family name) 4-00 Ian JEPSONInventor's signature Ian JepsonDate 3rd July 2000Residence Bracknell, United Kingdom GBX

British

Post Office Address Zeneca Agrochemicals, Jealotts Hill International Research Centre, Bracknell,
Berkshire, RG42 6ET, United Kingdom